

TORELLI THEOREM VIA FOURIER-MUKAI TRANSFORM.

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We show that the Fourier transform on the Jacobian of a curve interchanges “ δ functions” on the curve and the theta divisor. The Torelli theorem is an immediate consequence.

1. STATEMENT OF THE THEOREM.

1.1. We live over an algebraically closed base field k . Let J be an abelian variety equipped with a principal polarization $\theta : J \xrightarrow{\sim} J^\circ = \text{Pic}^0(J)$, so we have the corresponding Fourier transform \mathcal{F} on the derived category of quasi-coherent sheaves $D(J, \mathcal{O})$ (see [6]).

Let Θ be the theta divisor in J . Notice that Θ is defined up to translation, and any non-trivial translation does not preserve Θ . So we may consider Θ as a canonically defined algebraic variety equipped with a J -torsor of embeddings $j : \Theta \hookrightarrow J$; we call these j ’s *standard embeddings*. Denote by Θ^{ns} the open subset of smooth points of Θ . For a standard embedding j let $j^{ns} : \Theta^{ns} \hookrightarrow J$ be its restriction to Θ^{ns} .

Our Θ carries a canonical involution $x \mapsto x^\nu$; this is the unique involution such that for any standard embedding j the embedding $j^\nu : x \mapsto -j(x^\nu)$ is also standard. For a line bundle L on Θ or Θ^{ns} set $L^\nu := \nu^* L$.

The pull-back $j^* F$ of an \mathcal{O}_J -module F does not change if we translate both j and F by the same element of J . Thus the image of $j^{ns*} : \text{Pic}(J) \rightarrow \text{Pic}(\Theta^{ns})$ is a canonically defined subgroup of $\text{Pic}(\Theta^{ns})$ (it does not depend on j). Denote by $A(J)$ the corresponding quotient group.

Let $\mathcal{T} \subset \text{Pic}(\Theta^{ns})$ be the subset of line bundles L such that

- (i) $L \cdot L^\nu = \omega_{\Theta^{ns}}$
- (ii) $A(J)$ is generated by the image of L .

Remark. Since the tangent bundle to J is trivial, one has $\omega_{\Theta^{ns}} = j^{ns*} \mathcal{O}_J(j(\Theta))$. Thus, if \mathcal{T} is non-empty then ν acts on $A(J)$ as -1 .

1.2. From now on we assume that J is the Jacobian of a smooth projective curve C of genus $g \geq 2$ equipped with the canonical polarization. There is a standard embedding $i : C \hookrightarrow J$ defined up to translation; the standard embeddings i form a J -torsor isomorphic to $\text{Pic}^{-1}(C)$.

1.3. **Theorem.** The set \mathcal{T} is non-empty. For any $L \in \mathcal{T}$ and a standard embedding $j : \Theta \hookrightarrow J$ the Fourier transform $\mathcal{F}(j_*^{ns} L)$ equals to $(\pm i)_*(M)[1 - g]$ where $i : C \hookrightarrow J$ is a standard embedding, M is a line bundle of degree $g - 1$ on C .

2. PROOF OF THE THEOREM.

2.1. Let us start with some preliminaries.

Consider J as the moduli space¹ of line bundles of degree 0 on C . A line bundle E of degree $1 - g$ yields a standard embedding $j = j_E : \Theta \hookrightarrow J$. Namely, there is a canonical morphism $\sigma : \text{Sym}^{g-1} C \rightarrow \Theta$ such that $j_E \sigma$ sends a divisor D to $E(D)$. Thus the J -torsor of j 's equals $\text{Pic}^{1-g} C$. The above σ is an isomorphism over Θ^{ns} ; we denote by α the inverse open embedding $\Theta^{ns} \hookrightarrow \text{Sym}^{g-1} C$.

For a group T equipped with an involution ν we denote by \tilde{T} the corresponding semi-direct product of T and $\mathbb{Z}/2\mathbb{Z}$. Our \mathcal{T} carries a canonical action of \tilde{J} (here ν acts on J as -1). Namely, an element $l \in J$ acts as tensor product by the line bundle $j^{ns*} \theta(l)$ (notice that, since $\theta(l)$ is translation invariant, this line bundle does not depend on j and is ν -anti-invariant), and $\mathbb{Z}/2\mathbb{Z}$ acts by ν .

2.2. **Proposition.** The \tilde{J} -action on \mathcal{T} is transitive.

We prove 2.2 in 2.7. Notice that for $g = 2$ we have $\Theta = \Theta^{ns} = C$, so here the proposition is clear.

The map $(L, j) \mapsto j_*^{ns} L$ commutes with the action of \tilde{J} ; here \tilde{J} acts on \mathcal{O} -modules on J by twists by degree 0 line bundles and -1 symmetry. The Fourier transform interchanges twists by degree 0 line bundles and translations and commutes with the -1 symmetry. Since the set of embeddings $\pm i : C \hookrightarrow J$ is a \tilde{J} -torsor, 2.2 implies that it suffices to prove our theorem for a single pair (L, j) .

Take any pair (i, M) where $i : C \hookrightarrow J$ is a standard embedding, $M \in \text{Pic}^{g-1} C$. The theorem follows immediately from the involutivity property of \mathcal{F} and the following fact:

¹We consider J as a plain variety ignoring the stack structure

2.3. Proposition. One has $\mathcal{F}(i_*M)[1] = j_*^{ns}L$ where $j := j_{M^{-1}}$ and $L \in \mathcal{T}$.

We prove 2.3 in 2.8.

For every point $x \in C$ and every $d \geq 2$ consider the embedding

$$a_x^d : \mathrm{Sym}^{d-1} C \rightarrow \mathrm{Sym}^d C : D \mapsto D + x.$$

Let us denote by R_x^d the image of a_x^d .

2.4. Lemma. For $d \geq 2$ there is an exact sequence of abelian groups

$$0 \longrightarrow \mathrm{Pic}(J) \xrightarrow{(j\sigma)^*} \mathrm{Pic}(\mathrm{Sym}^d C) \xrightarrow{\deg} \mathbb{Z} \longrightarrow 0$$

where the homomorphism \deg is normalized by the condition that $\deg(\mathcal{O}(R_x^d)) = 1$ for any $x \in C$. If $\mathbb{P} \subset \mathrm{Sym}^d C$ is a complete linear system of positive dimension then $\deg(L) = \deg(L|_{\mathbb{P}})$.

Proof of the lemma². For d sufficiently large the statement is true since $\mathrm{Sym}^d C$ is a projective bundle over J . Let $\pi_d : C^d \rightarrow \mathrm{Sym}^d C$ be the canonical projection. Then we have $\pi_d^* \mathcal{O}(R_x^d) \simeq \mathcal{O}_C(x) \boxtimes \dots \boxtimes \mathcal{O}_C(x)$. Therefore, $\mathcal{O}(R_x^d)$ is ample and $H^i(\mathrm{Sym}^d C, \mathcal{O}(-nR_x^d)) = 0$ for $n > 0$, provided that $i \leq 1$, $d \geq 2$ or $i \leq 2$, $d \geq 3$ (this follows from the symmetric Kunneth formula proved in [7], exp. XVII, 5.5.34). It follows from the Lefschetz theorem for Picard groups (see [5], exp.11 (3.12), exp.12 (3.4)) that the map $a_x^{d*} : \mathrm{Pic}(\mathrm{Sym}^d C) \rightarrow \mathrm{Pic}(\mathrm{Sym}^{d-1} C)$ is an isomorphism for $d > 3$ and is an embedding for $d = 3$. It remains to check that a_x^{3*} is surjective. Let us denote by $K \subset \mathrm{Pic}(C \times C)$ the subgroup of line bundles L such that the restrictions $L|_{x \times C}$ and $L|_{C \times x}$ are trivial. Then there is an isomorphism $u : \mathrm{End}(J) \xrightarrow{\sim} K : \phi \mapsto (i_x \times \phi i_x)^* \mathcal{P}$ where $i_x : C \rightarrow J$ is the embedding corresponding to x , \mathcal{P} is the Poincaré line bundle on $J \times J$. Let $\mathrm{Pic}^+(C \times C)$ be the subgroup of line bundles stable under the involution $(x_1, x_2) \mapsto (x_2, x_1)$, let $K^+ = K \cap \mathrm{Pic}^+(C \times C)$. Then u induces an isomorphism of $\mathrm{End}^+(J)$ with K^+ where $\phi \in \mathrm{End}^+(J)$ if and only if ϕ is self-dual. Let $r : \mathrm{Pic}(C \times C) \rightarrow K$ be the homomorphism given by $r(F) = F \otimes [(F^{-1}|_{C \times x}) \boxtimes (F^{-1}|_{x \times C})]$. Then $r(\mathrm{Pic}^+(C \times C)) = K^+$ and we have the following commutative diagram

$$(1) \quad \begin{array}{ccccc} \mathrm{Pic}(J) & \xrightarrow{\sigma_2^*} & \mathrm{Pic}(\mathrm{Sym}^2 C) & \xrightarrow{\pi_2^*} & \mathrm{Pic}^+(C \times C) \\ \downarrow s & & & & \downarrow r \\ \mathrm{End}^+(J) & \xrightarrow{u} & & & K^+ \end{array}$$

²An alternative proof can be found in [4]

where $\sigma : \text{Sym}^2 C \rightarrow J$ maps D to $\mathcal{O}(D - 2x)$, $s : \text{Pic}(J) \rightarrow \text{End}^+(J)$ is the standard homomorphism $L \mapsto \phi_L$ where $\phi_L(a) = t_a^* L \otimes L^{-1}$. Since s is surjective it follows that the composition $r \circ \pi_2^* \circ \sigma_2^*$ is surjective. Thus, in proving that some line bundle $L \in \text{Pic}(\text{Sym}^2 C)$ comes from $\text{Pic}(\text{Sym}^3 C)$ we may assume that $\pi_2^* L$ belongs to the kernel of r . In other words, $\pi_2^* L \simeq L_1 \boxtimes L_1$ for some line bundle L_1 on C . The S_2 -action on $L_1 \boxtimes L_1$ either coincides with the standard one or differs from it by -1 . In accordance with this dichotomy we equip $\tilde{L} = L_1 \boxtimes L_1 \boxtimes L_1$ either with the standard S_3 -action or with the standard action twisted by the sign character. Then if we consider \tilde{L} as a line bundle on $\text{Sym}^3 C$ we have $a_x^{3*} \tilde{L} = L$.

2.5. Lemma. Assume that C is hyperelliptic. Let $Q \subset \text{Sym}^{g-1} C$ be the complement to the image of α . Then Q is an irreducible divisor and $\deg(Q) = -2$.

Proof of the lemma.

Let $\tau : C \rightarrow C$ be the hyperelliptic involution. For every $d > 1$ let us denote by $Q_d \subset \text{Sym}^d C$ the reduced effective divisor consisting of D such that D contains a divisor of the form $x + \tau x$. Note that $Q_2 \simeq \mathbb{P}^1$ while Q_d is just the image of $Q_2 \times \text{Sym}^{d-2} C$ under the natural map $\text{Sym}^2 C \times \text{Sym}^{d-2} C \rightarrow \text{Sym}^d C$, so it is irreducible. We have $Q = Q_{g-1}$. It is easy to check that

$$(2) \quad a_x^{d*} \mathcal{O}(Q_d) \simeq \mathcal{O}(Q_{d-1} + R_{\tau(x)}^{d-1}).$$

On the other hand, for any points $x, y \in C$ one has

$$(3) \quad a_x^{d*} \mathcal{O}(R_y^d) \simeq \mathcal{O}(R_y^{d-1}).$$

Consider the embedding $a : \mathbb{P}^1 \simeq Q_2 \hookrightarrow \text{Sym}^d C$ given by $D \mapsto D + D_0$ where $D_0 = x_1 + \dots + x_{d-2}$ is a fixed effective divisor of degree $d - 2$. Then by induction we derive from (2) and (3) that $a^* \mathcal{O}(R_y^d) \simeq \mathcal{O}_{\mathbb{P}^1}(1)$ while $a^* \mathcal{O}(Q_d) \simeq \mathcal{O}_{\mathbb{P}^1}(Q_2 \cdot Q_2 + d - 2)$, where $Q_2 \cdot Q_2$ is the self-intersection index of Q_2 in $\text{Sym}^2 C$. Since $Q_2 \cdot Q_2 = 1 - g$ we obtain $a^* \mathcal{O}(Q_d) \simeq \mathcal{O}_{\mathbb{P}^1}(d - g - 1)$. In particular, $a^* \mathcal{O}(Q_{g-1}) \simeq \mathcal{O}_{\mathbb{P}^1}(-2)$ as required.

2.6. Corollary. Assume that $g \geq 3$. Then the map $j^{ns*} : \text{Pic}(J) \rightarrow \text{Pic}(\Theta^{ns})$ is injective. The group $A(J) = \text{Pic}(\Theta^{ns})/j^{ns*}(\text{Pic}(J))$ is isomorphic to \mathbb{Z} if C is non-hyperelliptic, and to $\mathbb{Z}/2\mathbb{Z}$ otherwise.

Proof of the corollary. (i) Assume that C is non-hyperelliptic. Then by Martens theorem the complement to the image of α has codimension

> 1 (see [2], IV 5.1)³, so $\alpha^* : \text{Pic}(\text{Sym}^{g-1}C) \xrightarrow{\sim} \text{Pic}(\Theta^{ns})$. We are done by Lemma 2.4.

(ii) Assume that C is hyperelliptic. Then Lemma 2.5 implies that $\text{Pic}(\Theta^{ns})$ is isomorphic to $\text{Pic}(\text{Sym}^{g-1}C)/\mathbb{Z}[Q]$ where $\deg([Q]) = 2$, so our statement follows easily from Lemma 2.4.

2.7. Proof of Proposition 2.2.

Choose j to be symmetric, $j^\nu = j$; then $j^{ns*} : \text{Pic}J \rightarrow \text{Pic}(\Theta^{ns})$ commutes with the involution. Take $L, L' \in \mathcal{T}$. Since L generates $A(J)$ and $L^\nu \equiv L^{-1} \pmod{j^{ns*} \text{Pic}(J)}$ we have either $L \equiv L' \pmod{j^{ns*} \text{Pic}(J)}$ or $L^\nu \equiv L' \pmod{j^{ns*} \text{Pic}(J)}$. Replacing L by L^ν if necessary we obtain that $L^{-1} \cdot L' \simeq j^{ns*} \xi$ for some $\xi \in \text{Pic}(J)$. Since $\xi^\nu = \xi^{-1}$ we deduce that $\xi \in \text{Pic}^0(J) = J$ which implies the proposition.

Remark. We actually proved that if C is non-hyperelliptic then \mathcal{T} is a \tilde{J} -torsor, while for hyperelliptic C , it is a J -torsor (we did not prove that it is non-empty as yet).

2.8. *Proof of Proposition 2.3.* Our $F := \mathcal{F}(i_*M)[1]$ vanishes outside of $j(\Theta)$ where $j = j_{M^{-1}}$. Since F is the push-forward of a (shifted) line bundle on $C \times J$ one may represent it as the cone of a morphism $f : V_1 \rightarrow V_0$ of vector bundles on J . Therefore f is injective (so $F = \text{Coker } f$), and for any closed subset $Y \subset J$ of codimension > 2 one has $\mathcal{H}_Y^1 F = 0$. Note also that $j(\Theta)$ is precisely the zero locus of $\det(f)$ (this follows from the well-known determinantal description of Θ , see e.g. [2]). On the other hand, it is easy to see that $\det(f)$ annihilates $\text{Coker } f$. Therefore, $F = j_* j^* F$. Since the codimension in J of the singular locus of Θ is > 2 this shows that $F = j_*^{ns} L$ where $L := j^{ns*} F$. By Riemann's theorem on singularities of Θ the fibers of L at all closed points of Θ^{ns} are one-dimensional. Since Θ is reduced (see [2] IV.4.5) we see that L is a line bundle on Θ^{ns} .

It remains to prove that $L \in \mathcal{T}$. It is clear that the derived pull-back $\Phi := L j^{ns*} F$ is a complex with $H^0 \Phi = L$, $H^{-1} \Phi = L \otimes \mathcal{O}_J(-j(\Theta))$, other cohomology are 0. On the other hand, computing Φ using base change and the definition of F we see that for $x \in \Theta^{ns}$ the corresponding fibers are $H^0 \Phi_{j(x)} = H^1(C, E_x \otimes M)$, $H^{-1} \Phi_{j(x)} = H^0(C, E_x \otimes M)$ where $E_x := i^* \theta(j(x))$ is the line bundle on C of degree 0 that corresponds to $j(x)$. Since $E_{x^\nu} \simeq E_x^{-1} \otimes \omega_C \otimes M^{-2}$, the Serre duality yields $(H^0 \Phi_{j(x^\nu)})^* = H^{-1} \Phi_{j(x)}$. Therefore, $L^{\nu*} = L \otimes \mathcal{O}_J(-j(\Theta))$. We see that condition (i) from 1.1 is satisfied.

Let us check condition (ii). We may assume that i corresponds to a line bundle $\mathcal{O}_C(-x)$, $x \in C$. Consider the universal divisor

³The proof given in [2] works in arbitrary characteristic.

$\mathcal{D} \subset C \times \mathrm{Sym}^{g-1} C$. Then the pull-back of the Poincaré line bundle on $J \times J$ by the morphism $(i \times (j\sigma)) : C \times \mathrm{Sym}^{g-1} C \rightarrow J \times J$ is isomorphic to $p_1^* M^{-1}(\mathcal{D} - C \times R_x)$. It follows that the line bundle L^{-1} on Θ^{ns} is $\alpha^* p_{2*}(\mathcal{O}(\mathcal{D}))(-R_x)$ where $p_2 : C \times \mathrm{Sym}^{g-1} C \rightarrow \mathrm{Sym}^{g-1} C$ is the projection. The canonical morphism $\mathcal{O}_{\mathrm{Sym}^{g-1} C} \rightarrow p_{2*}(\mathcal{O}(\mathcal{D}))$ is an isomorphism over $\alpha(\Theta^{ns})$. Therefore, $L^{-1} = \alpha^* \mathcal{O}(-R_x)$ which generates $A(J)$, so we are done.

3. CONCLUDING REMARKS.

3.1. From the Lefschetz theorem for Picard groups (see [5], exp.11 (3.12), exp.12 (3.4)) one can easily derive that the restriction map $j^* : \mathrm{Pic}(J) \rightarrow \mathrm{Pic}(\Theta)$ is an isomorphism for an arbitrary principally polarized abelian variety J of dimension $g \geq 4$. Furthermore, if the dimension of the singular locus $\mathrm{Sing} \Theta$ is $< g-4$ then Θ is locally factorial as follows from [5], exp.11 (3.14). Hence, in this case $\mathrm{Pic}(\Theta) = \mathrm{Pic}(\Theta^{ns})$ (since the notions of Cartier divisors and Weil divisors on Θ coincide) and $A(J) = 0$. Notice that if J is a Jacobian then the dimension of $\mathrm{Sing} \Theta$ is $\geq g-4$. Moreover, Andreotti and Mayer proved in [1] that the closure of the locus of Jacobians constitute an irreducible component of the locus N_{g-4} of principally polarized abelian varieties with $\dim \mathrm{Sing} \Theta \geq g-4$. In [3] Beauville established that in the case $g=4$ the locus N_0 has two irreducible components. He also proved (assuming that the characteristic is zero) that a generic point of N_0 which is not contained in the closure of the locus of Jacobians corresponds to an abelian variety J with $\mathrm{Sing} \Theta$ consisting of one ordinary double point (see [3], 7.5). It follows that the corresponding group $A(J)$ is either zero or isomorphic to \mathbb{Z} and the involution acts on $A(J)$ as identity. Therefore, in this case either $A(J) = 0$ or the set \mathcal{T} is empty. The natural question is whether in higher dimensions one still has either $A(J) = 0$ or $\mathcal{T} = \emptyset$ for principally polarized abelian varieties which are not in the closure of the locus of Jacobians.

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